



IAP7 Rec'd PCT/PTO 03 AUG 2006

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application of: Gu ) Docket No.: H0004751  
)  
Serial No. 10/566,491 ) Group Art Unit: tbd  
)  
Title: Surge Control System for a ) Examiner: To be assigned  
Compressor )  
)  
Filed: 8/8/03 )

Torrance, California 90505  
Date: 8/1/06

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS  
FILING DATE GRANTED

Hon. Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTN.: Mail Stop Missing Parts

Dear Commissioner:

A copy of the NOTIFICATION OF MISSING REQUIREMENTS dated 7/24/06, and the executed declarations in compliance with C.F.R. 1.497(a) are attached hereto.

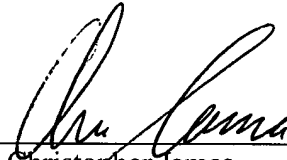
Please charge the \$130.00 surcharge under 37 C.F.R. §1.492(e) for filing the executed Declaration later than the filing date of the above-identified patent application to Deposit Account No. 01-1125. Also, please charge \$1000.00 for additional claim fees as required additionally to the same deposit account number as above.

08/09/2006 GFREY1 00000044 011125 10566491

01 FC:1617 130.00 DA  
02 FC:1614 200.00 DA  
03 FC:1615 800.00 DA

Honeywell International Inc.  
23326 Hawthorne Boulevard, Suite 200  
Torrance, California 90505  
(310) 791-7850

Respectfully submitted,

By:   
John Christopher James  
Registration No.: 40,660

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, Alexandria VA 22313-1450 on April 26, 2005

(Date of Deposit)

By:

Faisal Adnan

8/1/06  
(Date)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/566,491	Honeywell International Inc.	H00047512910

INTERNATIONAL APPLICATION NO.

PCT/US03/25029

I.A. FILING DATE

PRIORITY DATE

08/08/2003

Honeywell International Inc.  
Patent Services  
101 Columbia Road  
Mail Stop AB/2B  
Morristown, NJ 07962

CONFIRMATION NO. 7294

371 FORMALITIES LETTER



\*OC000000019726770\*

*Response Due 8/24/06*  
Date Mailed: 07/24/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/30/2006
- Copy of the International Search Report filed on 01/30/2006
- Copy of IPE Report filed on 01/30/2006
- Information Disclosure Statements filed on 05/04/2006
- Oath or Declaration filed on 01/30/2006
- Request for Immediate Examination filed on 01/30/2006
- U.S. Basic National Fees filed on 01/30/2006

RECEIVED  
U.S. DEPARTMENT  
JUL 28 A 7 41  
CENTRAL RECORDS - MTO

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of **\$1000** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$1330** for a Large Entity:

- **\$130 Surcharge.**
  - The application examination fee has not been paid. Applicant must submit **\$200** to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is **\$ 1000**
  - **\$200** for 1 independent claims over 3.
  - **\$800** for 16 total claims over 20.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

CHARITTA A BURT

Telephone: (703) 308-9140 EXT 207

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/566,491	PCT/US03/25029	H00047512910